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## Narrative review

# Comparing COVID-19 vaccines for their characteristics, efficacy and effectiveness against SARS-CoV-2 and variants of concern: a narrative review

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## ABSTRACT

**Background:** Vaccines are critical cost-effective tools to control the coronavirus disease 2019 (COVID-19) pandemic. However, the emergence of variants of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) may threaten the global impact of mass vaccination campaigns.

**Aims:** The objective of this study was to provide an up-to-date comparative analysis of the characteristics, adverse events, efficacy, effectiveness and impact of the variants of concern for 19 COVID-19 vaccines.

**Sources:** References for this review were identified through searches of PubMed, Google Scholar, BioRxiv, MedRxiv, regulatory drug agencies and pharmaceutical companies' websites up to 22nd September 2021.

**Content:** Overall, all COVID-19 vaccines had a high efficacy against the original strain and the variants of concern, and were well tolerated. BNT162b2, mRNA-1273 and Sputnik V after two doses had the highest efficacy (>90%) in preventing symptomatic cases in phase III trials. mRNA vaccines, AZD1222, and CoronaVac were effective in preventing symptomatic COVID-19 and severe infections against Alpha, Beta, Gamma or Delta variants. Regarding observational real-life data, full immunization with mRNA vaccines and AZD1222 seems to effectively prevent SARS-CoV-2 infection against the original strain and Alpha and Beta variants but with reduced effectiveness against the Delta strain. A decline in infection protection was observed at 6 months for BNT162b2 and AZD1222. Serious adverse event rates were rare for mRNA vaccines—anaphylaxis 2.5–4.7 cases per million doses, myocarditis 3.5 cases per million doses—and were similarly rare for all other vaccines. Prices for the different vaccines varied from \$2.15 to \$29.75 per dose.

**Implications:** All vaccines appear to be safe and effective tools to prevent severe COVID-19, hospitalization, and death against all variants of concern, but the quality of evidence greatly varies depending on the vaccines considered. Questions remain regarding a booster dose and waning immunity, the duration of immunity, and heterologous vaccination. The benefits of COVID-19 vaccination outweigh the risks, despite rare serious adverse effects. **Thibault Fiolet, Clin Microbiol Infect 2022;28:202**

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## Background

The implementation of vaccines against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is a major asset in slowing down the coronavirus disease 2019 (COVID-19) pandemic. At the time of writing, more than 100 vaccines have been developed, and

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**Table 1**  
Characteristics of coronavirus disease 2019 (COVID-19) vaccines

Vaccine	Manufacturer	Type of vaccine	Dose	Injection dose interval in the phase III trial	Condition of use/storage	Composition	Cost for one dose
BNT16b2	Pfizer/BioNTech	RNA-based	30 µg 5–7-dose vial 0.3 mL per dose	Intramuscularly 2 doses 21 days apart	Supplied as a frozen vial The withdrawal of 6–7 doses from a single vial is dependent, in part, on the type of syringes and needles used to withdraw doses from the vials The vaccine must be diluted Frozen vials prior to use can be stored before dilution: –80°C to –60°C up to the end of its expiry date or at –25°C to –15°C for up to 2 weeks Vials prior to dilution may be stored at +2°C to +8°C for up to 31 days or may be at room temperature up to +25°C for no more than 2 hours prior to use, or can be thawed in the refrigerator for 2–3 hours or at room temperature (up to +25°C) for 30 minutes After dilution: +2°C to +25°C Has to be used within 6 hours from the time of dilution	A synthetic messenger ribonucleic acid (mRNA) encoding the spike protein of SARS-CoV-2, lipids ((4-hydroxybutyl)azanediy) bis(hexane-6,1-diyl)bis(2-hexyldecanoate), 2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide, 1,2-distearoyl-sn-glycero-3-phosphocholine, and cholesterol), potassium chloride, monobasic potassium phosphate, sodium chloride, dibasic sodium phosphate dihydrate, and sucrose	EU and USA: \$19.50 African Union: \$6.75 Brazil: \$10 Colombia: \$12
mRNA-1273	Moderna	RNA-based	100 µg 11 or 15-dose vial 0.5 mL per dose	Intramuscularly 2 doses 28 days apart	Supplied as a frozen suspension stored between –50°C to –15°C Unopened vial: +2°C to +8°C for up to 30 days +8°C to +25°C for up to 24 hours After opening: +2°C to +25°C and discarded after 12 hours	A synthetic messenger ribonucleic acid (mRNA) encoding the spike protein of SARS-CoV-2. The vaccine also contains the following ingredients: lipids (SM-102, 1,2-dimyristoyl-rac-glycer-3-methoxypolyethylene glycol-2000 (PEG2000-DMG), cholesterol, and 1,2-distearoyl-sn-glycero-3-phosphocholine (DSPC)), tromethamine, tromethamine hydrochloride, acetic acid, sodium acetate, and sucrose	EU: \$25.5 USA: \$15 Argentina: \$21.5 Botswana: \$28.8
CVnCoV	CureVac	RNA-based	12 µg	Intramuscularly 2 doses 28 days apart	Concentrated CVnCoV will be stored frozen at –60°C (in clinical trial) CVnCoV must be diluted Unopened vial: 3 months at +2°C to +8°C Room temperature for 24 hours	NA	NA

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**Table 1** (continued)

Vaccine	Manufacturer	Type of vaccine	Dose	Injection dose interval in the phase III trial	Condition of use/storage	Composition	Cost for one dose
AZD1222 ChAdOx1 nCoV-19 vaccine	AstraZeneca/ University of Oxford	Non-replicating viral vector	$5 \times 10^{10}$ viral particles (standard dose) 8 doses or 10 doses of 0.5 mL per vial	Intramuscularly 2 doses 4–12 weeks apart	Do not freeze Unopened vial: 6 months (+2°C to +8°C) After opening: no more than 48 hours in a refrigerator (+2°C to +8°C) Used at temperature up to +30°C for a single period of up to 6 hours	Chimpanzee Adenovirus encoding the SARS-CoV-2 spike glycoprotein (ChAdOx1-S) <sup>a</sup> , not less than $2.5 \times 10^8$ infectious units (Inf.U) <sup>a</sup> Produced in genetically modified human embryonic kidney (HEK) 293 cells and by recombinant DNA technology L-Histidine L-Histidine hydrochloride monohydrate Magnesium chloride hexahydrate Polysorbate 80 (E 433) Ethanol Sucrose Sodium chloride Disodium edetate (dihydrate) Water for injection	\$2.15 in the EU \$4–6 elsewhere
Ad26.CO2.S	Johnson & Johnson	Non-replicating viral vector	$5 \times 10^{10}$ viral particles 10 doses of 0.5 mL per vial	Intramuscularly A single dose	Should be protected from light Supplied as a liquid suspension Unopened vial can be stored at +2°C to +8°C until the expiration date or at +9°C to +25°C for up to 12 hours After the first dose has been withdrawn, the vial is held between +2°C and +8°C for up to 6 hours or at room temperature for up to 2 hours	Replication-incompetent recombinant adenovirus type 26 vector expressing the SARS-CoV-2 spike protein in a stabilized conformation. ( $5 \times 10^{10}$ vp) Citric acid monohydrate, trisodium citrate dihydrate, ethanol, 2-hydroxypropyl- β-cyclodextrin (HBCD), polysorbate 80, sodium chloride, sodium hydroxide, and hydrochloric acid	EU: \$8.5 USA: \$10 African Union: \$10
Gam-COVID-Vax Sputnik V	Gamaleya Research Institute	Non-replicating viral vector	$10^{11}$ viral particles per dose for each recombinant adenovirus 0.5 mL/dose	Intramuscularly 2 doses 21 days apart	Transport: two forms: lyophilized or frozen Storage: +2°C to +8°C	Two vector components, rAd26-S and rAd5-S Tris (hydroxymethyl) aminomethane, sodium chloride, sucrose, magnesium chloride hexahydrate, ethylenediaminetetraacetic acid (EDTA) disodium salt dihydrate, polysorbate-80, ethanol 95%, and water for injection	<\$10
NVX-CoV2373	Novavax	Protein-based	5 µg protein and 50 µg Matrix-M adjuvant	Intramuscularly 2 doses 21 days apart	Shipped in a ready-to-use liquid formulation Storage: +2°C to +8°C	SARS-CoV-2 rS with matrix- M1 adjuvant (5 µg antigen and 50 µg adjuvant)	\$20.9 for Denmark COVAX: \$3
EpiVacCorona	VECTOR	Protein-based	225 µg protein 0.5 mL/dose	Intramuscularly 2 doses 21 days apart	Storage between +2°C and +8°C	NA	NA

ZF2001	Institute of Microbiology, Chinese Academy of Sciences, and Anhui Zhifei Longcom Biopharmaceutical	Protein-based	25 µg protein 0.5 mL/dose	Intramuscular	Storage between +2°C and +8°C	NA	NA
Convidecia™ Ad5-nCoV	CanSino	Non-replicating viral vector	10 <sup>10</sup> viral particles per 0.5 mL in a vial	Intramuscularly Single dose	Supplied as a vial of 0.5 mL Storage between +2°C and +8°C Do not freeze	The recombinant novel coronavirus vaccine (Adenovirus type 5 vector) Mannitol, sucrose, sodium chloride, magnesium chloride, polysorbate 80, glycerin, N-(2-hydroxyethyl), piperazine-N- (2-ethanesulfonic acid) (HEPES), sterile water for injection as solvent	Pakistan private market: \$27.2
CoronaVac	Sinovac Biotech	Inactivated virus	3 µg 0.5 mL per dose	Intramuscularly 2 doses 28 days apart	Supplied as a vial or syringe of 0.5 mL Do not freeze Protect from light Storage and transport between +2°C and +8°C Shake well before use Shelf-life: 12 months	Inactivated CN02 strain of SARS-CoV-2 created with Vero cells Aluminium hydroxide, disodium hydrogen phosphate dodecahydrate, sodium dihydrogen phosphate monohydrate, sodium chloride	China: \$29.75 Ukraine: \$18 Philippines: \$14.5 Brazil: \$10.3 Cambodia: \$10
BBIBP-COrV	Sinopharm/Beijing Institute of Biological Products	Inactivated virus	4 µg 0.5 mL per dose	Intramuscularly 2 doses 21–28 days apart	Supplied as pre-filled syringe or vial Cannot be frozen Protect from light Store and transport refrigerated (+2°C to +8°C)	Inactivated virus 19nCoV-CDC-Tan-HB02 Excipients: disodium hydrogen phosphate, sodium chloride, sodium dihydrogen phosphate, aluminium hydroxide adjuvant	Argentina, Mongolia: \$15 Senegal: \$18.6 China: \$30 Hungary: \$36
Wuhan	Sinopharm/Chinese Academy of Science	Inactivated virus	NA	NA	NA	NA	NA
Covaxin	Bharat Biotech	Inactivated virus	6 µg Single dose: 0.5 mL 10-dose or 20-dose vial	Intramuscularly 2 doses 28 days apart	Supplied as a single dose or multidose vial Do not freeze Stored at +2°C to +8°C	6 µg whole-virion inactivated SARS-CoV-2 antigen (strain: NIV-2020-770), and other inactive ingredients such as aluminium hydroxide gel (250 µg), TLR 7/8 agonist (imidazoquinolinone) 15 µg, 2-phenoxyethanol 2.5 mg, and phosphate buffer saline® up to 0.5 mL	India: \$3.5 Brazil: \$15 Botswana: \$16
CIGB-66 Abdala	Center for Genetic Engineering and Biotechnology (CIGB)	Protein-based	0.05 mg recombinant protein 0.5 mL per dose	Intramuscularly 3 doses at 0, 14, 28 days	Supplied as a multidose vial Do not freeze Stored at +2°C to +8°C	Recombinant protein of the SARS-CoV-2 virus receptor-binding domain (RBD) 0.05 mg Thiomersal 0.025 mg Aluminium hydroxide gel (Al <sup>3+</sup> ) Disodium hydrogen phosphate Sodium dihydrogen phosphate dihydrate Sodium chloride	NA

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Table 1 (continued)

Vaccine	Manufacturer	Type of vaccine	Dose	Injection dose interval in the phase III trial	Condition of use/storage	Composition	Cost for one dose
QazVac QazCovid-In	Kazakh Research Institute for Biological Safety Problems	Inactivated virus	—	Intramuscularly 2 doses 21 days apart	Stored at +2°C to +8°C	NA	NA
Coviran Barkat	Shifa Pharmmed Industrial Group	Inactivated virus	5 µg inactivated purified virus 0.5 mL per dose	Intramuscularly 2 doses 28 days apart	Stored at +2°C to +8°C	Inactivated viral particles and a mixture 2% adjuvant® Alhydrogel (aluminum hydroxide)	NA
KoviVac	Chumakov Center	Inactivated virus	NA	NA	NA	NA	NA

Composition and conditions of use references are in the [Supplementary Material Table S1](#).  
 NA, not available information; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2; EU, European Union.  
<sup>a</sup> Prices were retrieved from <https://www.unicef.org/supply/covid-19-vaccine-market-dashboard> and <https://www.theguardian.com/world/2021/aug/11/covid-19-vaccines-the-contracts-prices-and-profits>.

26 vaccines have been evaluated in phase III clinical trials, according to the World Health Organization (WHO) [1].

Recently, several variants of concern (VOCs) have emerged, including Alpha (known as 501Y.V1 with GISAID nomenclature or B.1.1.7 variant with PANGO nomenclature), Beta (501Y.V2 or B.1.351), Gamma (501Y.V3 or P1) and Delta (G/478K.V1 or B.1.617.2). These variants have been associated with an increase in the transmission or mortality of COVID-19 [2–6] or may escape immunity when compared to the original strain or D614G variant [7–11].

While phase III trials assess the efficacy under controlled conditions, phase IV studies evaluate the real-world effectiveness of the vaccines in an observational design among a larger general population. These studies bring crucial information about rare or long-term effects, the prevention of asymptomatic infection, and the severity of COVID-19.

The administration of COVID-19 vaccines is a major priority for many countries around the world. Due to the speed of production of scientific data in the context of this pandemic, it can be difficult for healthcare professionals to update themselves on the latest data concerning COVID-19 vaccines.

The objective of this review is to provide an up-to-date comparative analysis of the characteristics, adverse events, efficacy, effectiveness, and impact of the variants of concern on the following 19 COVID-19 vaccines: mRNA vaccines (BNT16b2, mRNA-1273, CVnCoV), viral vector vaccines (AZD1222, Sputnik V, Sputnik V Light, Ad5-nCoV (Convidecia), Ad26.COV2.S), inactivated vaccines (NVX-COV2373, CoronaVac, BBIBP-CorV, Wuhan Sinopharm inactivated vaccine, Covaxin, QazVac, KoviVac, COVIran Barekat), and protein-based vaccines (EpiVacCorona, ZF2001, Abdala).

## Methods

Electronic searches for studies were conducted using Pubmed and Google scholar until 22nd September 2021 using the search terms “SARS-CoV-2”, “COVID-19”, “efficacy”, “effectiveness”, “neutralization assays”, and “neutralization antibodies” in addition to the scientific or commercial names of the vaccines reported by WHO in phase III/IV. The [ClinicalTrials.gov](#) database was consulted using the terms “COVID-19” and “vaccine”. BioRxiv and MedRxiv, regulatory drug agencies and pharmaceutical companies’ websites were also consulted for unpublished results and additional information. Vaccines included in this review were approved in at least one country. CVnCoV and NVX-CoV2373 were in rolling review by the European Medicine Agency (EMA) and were included in this review.

Efficacy refers to the degree to which a vaccine prevents symptomatic or asymptomatic infection under controlled circumstances such as clinical trials. Effectiveness refers to how well the vaccine performs in the real world. In clinical trials, the main endpoint was the prevention of symptomatic COVID-19, whereas in observational studies endpoints were various and included asymptomatic SARS-CoV-2 infection, COVID-19, hospitalization or mortality.

Regarding seroneutralization assays, we extracted the age of the study population, dosage, and fold decrease in geometric mean titre for 50% neutralization compared to the SARS-CoV-2 reference strain for each vaccine and each SARS-CoV-2 variant when it was available.

## Evidence on vaccine efficacy and effectiveness

At the time of this review, 17 vaccines have been authorized in at least one country ([Supplementary Material Table S1](#)) and two vaccines (CVnCoV and NVX-CoV2373) are under evaluation.

**Table 2**  
Phase III trials for coronavirus disease 2019 (COVID-19) vaccines

Vaccine	Author	Study population	Cut-off date	Main endpoint	Symptomatic COVID-19			Severe COVID-19			Hospitalization		Any unsolicited serious adverse event	
					Vaccine	Placebo	Efficacy (% 95%CI)	Cases among vaccine group	Cases among placebo group	Efficacy (% 95% CI)	Vaccine	Placebo	Vaccine	Placebo
BNT162b2 (RNA-based)	Polack et al. [14]	USA, Argentina, Brazil, Germany, S. Africa, Turkey ≥16 years	27th July 2020 to 14th November 2020 Median follow-up: 2 months	After dose 1  After dose 2 COVID-19 with onset at least 7 days after the second dose without prior infection	50/21 314  8/18 198	275/21 258  162/18 325	82% (75.6 to 86.9)  95% (90.3 to 97.6)	0  1	4  4	88.9% (20.1 to 99.7)  75% (−152.6 to 99.5%)	NA  NA	NA  NA	0.6%  0.6%	0.5%  0.5%
	Thomas et al. [15]		27th July 2020 to 13th March 2021 6 months follow-up	After dose 2 COVID-19 with onset at least 7 days after the second dose without prior infection	77/20 998	850/20 713	91.3% (89.0 to 93.2)	1	23	95.7% (73.9 to 99.9)	NA	NA	1.2%	0.7%
	Frenck et al. [16]	USA 12–15 years	15th October 2020 to 12th January 2021 2 months follow-up	After dose 2 COVID-19 with onset at least 7 days after the second dose without prior infection	0/1005	16/978	100% (75.3 to 100)	0	0	No cases of severe COVID-19 were observed	NA	NA	0.4%	0.1%
mRNA-1273 (RNA-based)	Baden et al. [17]	USA ≥18 years	27th July 2020 to 21st November 2020 Median follow-up of 64 days	After dose 1  After dose 2 COVID-19 with onset at least 14 days after the second dose without prior infection	7/996  11/14 134	39/1079  185/14 075	80.2% (55.2 to 92.5)  94.1% (89.3 to 96.8)	NA  0	NA  30	NA  100% (no CI estimated)	NA  3 in the placebo group and 1 in the vaccine group	NA  0.6%	NA  0.6%	
	El Sahly et al. [18]	US ≥18 years	26th March 2021 Median follow-up of 5.3 months post dose 2	COVID-19 with onset at least 14 days after the second dose without prior infection	55/14 287	744/14 164	93.2% (91 to 94.8)	2	106	98.2%	NA	NA	0.7%	0.6%
	CureVac press communication [19]	Argentina, Belgium, Colombia, Dominican Republic, Germany, Mexico, Netherlands, Panama, Peru, Spain	Estimated completion date: 15th May 2022	COVID-19 of any severity	40 000 adults  83 cases among the vaccine group  145 cases among the placebo group		48%	9	36	77% against moderate and severe disease	0 hospitalizations among the vaccine group  6 hospitalizations among the placebo group	Ongoing	Ongoing	
AZD1222 (Non-replicating viral vector ChAdOx1 nCoV-19 vaccine)	Emary et al. [20]	UK	1st October 2020 to 14th January 2022 Median follow-up: not provided	Symptomatic COVID-19 with onset at least 14 days after the second dose without prior infection	59/4244	210/4290	Alpha: 70.4% (43.6 to 84.5)  Non-VOC lineages: 81.5% (67.9 to 89.4)	NA	NA	NA	There were no cases of hospitalization or death	NA	NA	
	Voysey et al. [21]	UK/Brazil/South Africa ≥18 years	23rd April 2020 to 7th December 2020 Median follow-up post dose 2: 53–90 days according to the dose gap	Symptomatic COVID-19 with onset at least 14 days after the second dose without prior infection	84/8597	248/8581	66.7% (57.4 to 74%)	0	15	Efficacy against hospitalization from 22 days after vaccination: 100%	2 hospitalizations among the vaccine group  22 hospitalizations among the placebo group	0.7%	0.8%	
	Madhi et al. [22]	South Africa ≥18 years	24th June 2020 to 9th November 2020 Median follow-up post dose 2: 156–121 days	Mild to moderate COVID-19 with onset at least 14 days after the second dose without prior infection	19/750	23/717	21.9 (−49.9 to 59.8)	0	0	No participant had severe COVID-19	Zero hospitalizations	14 events	13 events	

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**Table 2** (continued)

Vaccine	Author	Study population	Cut-off date	Main endpoint	Symptomatic COVID-19			Severe COVID-19			Hospitalization		Any unsolicited serious adverse event		
					Vaccine	Placebo	Efficacy (% 95%CI)	Cases among vaccine group	Cases among placebo group	Efficacy (% 95% CI)	Vaccine	Placebo	Vaccine	Placebo	
			(vaccinated – placebo)	Against Beta variant at least 14 days after the second dose without prior infection	19/750	20/714	10.4% (-76.4 to 54.8)	0	0						
Press communication [23]	USA ≥18 years	25th March 2021	Preventing symptomatic COVID-19	141 symptomatic cases among 32 449 participants	76% (68 to 82)	NA	NA	100%	Zero hospitalizations among the vaccine group	NA	NA				
Ad26.COV2.S (Non-replicating viral vector)	US FDA and EMA [24,25]	Brazil, Chile, Argentina, Colombia, Peru, Mexico, US, South Africa ≥18 years Variant D614G 96.4% in the US Beta (94.5% in South Africa) Variant P2 (69.4% in Brazil)	22nd January 2021 Median follow-up of 2 months	Prevent confirmed, moderate to severe/critical COVID-19 at least 14 days after vaccination without prior infection	116/19 514	348/19 544	All: 66.9% (59.1 to 73.4) USA: 74.4% (65 to 81.6) South Africa: 52% (30.3 to 67.4) Latin America: 64.7% (54.1 to 73)	14	60	All: 76.7% (54.6 to 89.1)	2 hospitalizations among the vaccine group	0.4%	0.4%	29 hospitalizations among the placebo group	
				Prevent confirmed, moderate to severe/critical COVID-19 at least 28 days after vaccination without prior infection	66/19 306	193/19 178	All: 66.1% (55.0 to 74.8) USA: 72% (58.2 to 81.7) South Africa: 64% (41.2 to 78.7) Latin America: 61% (46.9 to 71.8)	5	34	All: 85.4% (54.2 to 96.9)	0 hospitalizations among the vaccine group			16 hospitalizations among the placebo group	
Gam-COVID-Vax Sputnik V (Non-replicating viral vector)	Logunov et al. [26]	Russia ≥18 years	7th September 2020 to 24th November 2020 Median follow-up post dose 1: 48 days	First confirmed COVID-19 after the first dose First confirmed COVID-19 after the second dose	79/16 427	96/5435	73.1% (63.7 to 80.1)			NA	NA	0.3%	0.4%		
NVX-CoV2373 (Protein-based)	Shinde et al. [27]	South Africa ≥18 years Beta: 90% of cases in South Africa	17th August 2020 to 25th November, 2020 Median follow-up post dose 2: 45 days	Preventing symptomatic COVID-19 at least 7 days after the 2nd dose without prior infection	44/1357	29/1327	All: 49.4% (6.1 to 72.8%) HIV-negative participants: 60% (19.9 to 80.1)	NA	NA	100% (94.4 to 100) Moderate or severe	NA	NA	0.4%	0.2%	
	Heath et al. [28]	UK ≥18 years	Median follow-up post dose 2: 3 months	Symptomatic COVID-19 at least 7 days after the 2nd dose without prior infection	10 cases	96 cases	89.7% (80.2 to 94.6) 86.3% (71.3 to 93.5) against Alpha	0	1	1 severe COVID-19 in placebo group	—	0.5%	0.5%		
	Novavax press release [29]	USA and Mexico	25th January 2021 to 30th April 2021 (Alpha predominant) Follow-up: not available	Symptomatic COVID-19 with onset at least 7 days after the second dose without prior infection	14	63	90.4% (82.9 to 94.6) 93.2% (83.9 to 97.1) against variants (mainly Alpha)	NA	NA	NA	NA	NA	NA	NA	NA
Convidecia™ Ad5-nCoV (Non-replicating viral vector)	CanSino Biologics Inc document [30]	Pakistan, Mexico, Russia, Chile and Argentina ≥18 years	8th February 2021 Follow-up: not available	Symptomatic COVID-19 disease 14 days after single dose Symptomatic COVID-19 disease 28 days after single dose	NA	NA	68.83%	NA	NA	95.47%	NA	NA	NA	NA	NA

CoronaVac (inactivated virus)	Sinovac document [31]	Brazil, Turkey, Indonesia ≥18 years	16th December 2020 Follow-up: not available	Symptomatic COVID- 19 at least 14 days after two doses	Brazil: 253 cases/12 396 health workers	Brazil all: 50.65% Turkey: 83.5% Indonesia: 65%	NA	NA	Brazil: 100%	NA	NA	
	Tanriover et al. [32]	Turkey ≥18 years	15th September 2020 to 6th January 2021 Median follow-up (after randomization): 43 days	Symptomatic COVID- 19 at least 14 days after two doses	Turkey: 29 cases/1322 9/6559	83.5% (65.4 to 92.1)	NA	NA	1 hospitalization in the placebo group 0 hospitalizations in the vaccine group	0.1%	0.1%	
BBIBP-CoV (inactivated virus)	Al Kaabi et al. [33]	Bahrain, China, Pakistan, and the UAE ≥18 years	16th July 2020 to 20th December 2020 Median follow-up: (14 days after the 2nd dose); 77 days	Symptomatic COVID- 19, 14 days after the second dose without prior infection at baseline	21/12 726	95/12 737	78.1 (64.8 to 86.3)	0	2	100% (NA)	NA	0.4%
Wuhan inactivated vaccine	Al Kaabi et al. [33]	Bahrain, China, Pakistan, and the UAE ≥18 years	16th July 2020 to 20th December 2020 Median follow-up: (14 days after the 2nd dose); 77 days	Symptomatic COVID- 19, 14 days after the second dose without prior infection at baseline	26/12 743	95/12 737	72.8% (58.1 to 82.4)	0	2	100% (NA)	NA	0.5%
COVAXIN (inactivated virus)	Bharat Biotech [34]	India ≥18 years	Median follow-up: not available	Symptomatic COVID- 19, 14 days after the second dose without prior infection at baseline	127 symptomatic cases among 25 800 participants	78% (61 to 88)	NA	NA	100% (60 to 100)	NA	NA	0.6%
Abdala (protein- based)	Press release [35]	Cuba	Median follow-up: not available	COVID-19 (not specified)	NA	NA	92.3%	NA	—	NA	NA	—

At the time of the review, we did not find any phase III trial results published or available for QazVax (inactivated virus), KoviVac, COVIran Barekat, EpiVacCorona, ZF2001 and Sputnik V Light.

We briefly compared the COVID-19 vaccines schedule, type of vaccine, manufacturer, dosage, conditions of use/storage/transport, composition and price (Table 1). The main results of phase III clinical trials for each vaccine are described in Table 2 and in Fig. 1). Characteristics of SARS-CoV-2 variants (Alpha, Beta, Gamma, Epsilon, Eta, Zeta, Iota, Kappa and Delta) are described in Table 3. The results of observational real-world studies are specified in Figs. 2–4 and in the Supplementary Material Table S2. Seroneutralization assay results are summarized in Fig. 5 using boxplots per vaccine and per variant, and Supplementary Material Table S3 describes the 54 studies in detail.

### Randomized clinical trials on vaccine efficacy

In phase III trials (Table 2 and Fig. 1), all the main outcomes were efficacy against symptomatic infection after the second dose. In most trials, the strain was not sequenced.

#### Messenger RNA (mRNA) vaccines

mRNA-based drugs are new but not unknown. In 1990, the direct injection of mRNA in mouse muscle cells proved the feasibility of mRNA vaccines [12]. mRNA instability, high innate immunogenicity and delivery issues were the main obstacles. BNT162b2 and mRNA-1273 vaccines against SARS-CoV-2 were the first authorized mRNA-based vaccines. They contain the mRNA of the antigen of interest which enters cells and is translated into the spike protein to induce an immune response. Against the historical strain, BNT162b2 and mRNA-1273 vaccines had an efficacy of >90% at 5–6 months' follow-up post second dose, whereas CVnCoV had a lower efficacy of 48%.

#### Viral vector vaccines

Viral vectors are delivery systems containing nucleic acid encoding an antigen. AZD1222, Ad5-nCoV and Sputnik V had an efficacy of 65–91.6% against the historical strain. AZD1222 had an efficacy of 70.4 against Alpha. Ad26.COV2.S had an efficacy of 69.4% in Brazil (mainly P2). AZD1222 and Ad26.COV2.S had efficacies of 10.4% and 64.7%, respectively, against Beta in South Africa.

#### Inactivated and protein subunit vaccines

Inactivated vaccines are whole viruses that cannot infect cells and replicate [13]. Subunit vaccines are made of fragments of proteins or polysaccharides. NVX-COV2373 had an efficacy of 89–91.6% against the historical strain, 86.3–93.2% against Alpha and 60% against Beta. CoronaVac, BBIBP-CorV, Wuhan inactivated vaccine, Covaxin and Abdala had an efficacy of 50.6–92.3% but the SARS-CoV-2 strains were not specified. KoviVac, Barekatn QazVac, RBD-Dimer, EpiVacCorona had no phase III trial data published at the time of this review. Real world studies.

Post-marketing surveillance studies results are summarized in Table 3 and detailed in Supplementary Material Table S2. Most studies have a follow-up of 90 days post-vaccination.

#### Effectiveness against COVID-19 (symptomatic infection)

After full immunization, mRNA vaccine effectiveness against disease was 88–100% against Alpha [36–43], 76–100% against Beta/Gamma [37,38,40], 47.3–88% against Delta [38,44–47], and 89–100% when SARS-CoV-2 strain was not sequenced [40,41,48–53] (Fig. 2). AZD1222 effectiveness against disease was 74.5% against Alpha [45] and 67% against Delta [44,54] in the UK. CoronaVac effectiveness was 36.8–73.8% against Alpha/

**Table 3**

Coronavirus disease 2019 (COVID-19) vaccines and variants

SARS-CoV-2 variants	B.1.1.7 501Y.V1	B.1.551 501Y.V2	B.1.1.28.1.P1 501Y.V3	B.1.617.2 (and AY sublineages)	B.1.617.1	
WHO nomenclature	Alpha	Beta	Gamma	Delta	Kappa	
Key spike mutations	69/70del, 144del, N501Y, A570D, D614G, P681H, T716I, S982A, D1118H	D80A, D215G, 241/243del, K417N, E484K, N501Y, D614G, A701V	L18F, T20N, P26S, D138Y, R190S, K417T, E484K, N501Y, D614G H655Y, T1027I, V1176F	T19R, T95I, G142D, E156-, F157-, R158G, L452R, T478K, D614G, P681R, D950N ± (V70F, A222V, W258L, K417N)	G142D, E154K, L452R, E484Q, D614G, P681R, Q1071H ± (T95I)	
First detection	UK September 2020	South Africa September 2020	Brazil and Japan December 2020	India December 2020	India December 2020	
Transmission compared to non- VOC/VOI	+56% in the UK [2] +56–74% in Denmark, Switzerland, US [102] 43–100% higher reproductive number [104]	+50% in South Africa [108]	+160% in Brazil [3,6]	+40 to 60% in the UK (compared to Alpha) +97% higher reproductive number [104]	NA	
Risk of mortality	Increased 61–64% mortality in the UK [4]	NA	NA	May cause more severe cases than Alpha [109]	NA	
Impact on post- vaccination sera (reduction in neutralization activity compared to the original SARS- CoV-2 or D614G)	No/minimal 0–3.3-fold reduction for BNT162b2 [9,110 –122], mRNA-1273 [8,9,115,123] No reduction for Sputnik V, Covaxin, BBIBP-CorV [118,124,125] 1.5–4.1-fold reduction for CoronaVac [124,126,127] 2.1-fold reduction for AZD1222 [117] and NVX-CoV2373 [128]	Minimal to substantial No reduction for BBIBP- CorV [124,129] 1.3–38.45-fold reduction for BNT162b2 [7,9,110 –113,115,116,119,120,130] 3.3–23.45-fold reduction for mRNA-1273 [9,115,123,131,132], AZD1222 [133] 3.3–5.3-fold reduction CoronaVac [124,126] 2.1-fold reduction for AZD1222 [117] and NVX-CoV2373 [132]	Minimal to moderate 1.2–7.6-fold reduction for BNT162b2 3.2–4.5-fold reduction for mRNA-1273 [110,112,115] 9-fold reduction for AZD1222 [120] 7.5-fold reduction for CoronaVac [126,127] 3.4-fold reduction for Ad26.COV2.S [134] 2.8-fold reduction for Sputnik V [135] 14.5-fold reduction for NVX-CoV2373 [132]	2.6–7.5-fold reduction for mRNA-1273 [136–138] 1.4–11.1-fold reduction for BN162b2 [133,136,137,139 –141] 3.1–9 for AZD1222 [133,140,142] 1.6–5.4-fold reduction for Ad26.COV2.S [134,137] 2.5-fold for CoronaVac [143] 3-fold for ZF2001 [143] 2.5-fold for Sputnik V [144] 4.6-fold for Covaxin [145].	2.6–7.5-fold reduction for BNT162b2 [133,136,139,146] 3.4–7-fold reduction for mRNA-1273 [138] 1–2.6-fold reduction for AZD1222 [133,139,145]	
Effectiveness against SARS-CoV-2 infection (fully vaccinated)	BNT162b2: 78–95% [37,48,58,66] mRNA-1273: 84–99% [49,147] AZD1222: 79% [66]	BNT162b2: 75% [37] mRNA-1273: 96% [49]	NA	BNT162b2: 42–79% [44,66 –69] mRBA-1273: 76–84% [67,68] mRNA-vaccines: 64% [147] mRNA-vaccines/Janssen: 47 –79% [59,81] AZD1222: 60–67% [44,66] mRNA/AZD-1222: 49% [79]	NA	
Effectiveness against COVID-19 hospitalization/ death (fully vaccinated)	BNT162b2n mRNA- 1273: >89% [36,38,39]	BNT162b2: 95% [38]	BNT162b2: 95% [38]	BNT162b2: 80% [60] mRNA-1273: 95% [60] Ad26.COV1.S: 60–85% [60,61] mRNA-vaccines: 95% [39,59]	NA	
SARS-CoV-2 variants	B.1.525	P2	P3	B.1.526	B.1.427	
	Eta	Former Zeta	Former Theta	Iota	Former Epsilon	
Key spike mutations	Q52R, A67V, 69/ 70del, 144del, E484K, D614G, Q677H, F888L	E484K, D614G, V1176F	141/143del, E484K, N501Y, D614G, P681H, E1092K, H1101Y, V1176F	L5F, T95I, D253G, D614G, A701V+(E484K or S477N)	S13I, W152C, L452R, D614G	S13I, W152C, L452R, D614G
First detection	Multiple countries December 2020	Brazil	Philippines January 2021	USA December 2020	USA September 2020	USA September
Transmission	NA	NA	NA	NA	+18.6 to 24% in California [148]	+18.6 to 24% in California [148]
Risk of mortality Impact on post- vaccination sera (reduction in neutralization activity)	NA NA	NA NA	NA NA	NA 0 to 3.6 fold reduction for BNT162b2 [149,150] 1.4 to 3.3 fold reduction for mRNA-1273 [138,149] 4 fold reduction for CoronaVac [126]	NA 2.3 fold reduction for BNT162b2 [122]	NA 1.3 to 4 fold reduction for BNT162b2 [148,151,152] 2 to 2.8 for mRNA-1273 [132,138,151] 2.5 fold reduction for NVX- CoV2373 [132] 1.3 fold reduction for CoronaVac [126]
Effectiveness against infection (full vaccinated)	NA	NA	NA	NA	NA	NA

**Table 3** (continued)

SARS-CoV-2 variants	B.1.525 Eta	P2 Former Zeta	P3 Former Theta	B.1.526 Iota	B.1.427 Former Epsilon	B.1.429 CAL.20C Former Epsilon
Effectiveness against hospitalization/death (full vaccinated)	NA	NA	NA	NA	NA	NA
SARS-CoV-2 variants		B.1.621 Mu			C.37 Lambda	
Key spike mutations	R346K, E484K, N501Y, D614G, P681H				I452Q, F490S, D614G	
First detection	Colombia				Peru	
Transmission	January 2021				December 2020	
Risk of mortality	NA				NA	
Impact on post-vaccination sera (reduction in neutralization activity)	NA	2–7.6-fold reduction for BNT162b2 [122,153]			NA	3.1-fold reduction for CoronaVac [127] 1.7–4.6-fold reduction for BNT162b2 [122,150,154] 3.3–4.6-fold reduction for mRNA-1273 [154] 2.9-fold reduction for Sputnik V [135]
Effectiveness against infection (full vaccinated)	NA				NA	
Effectiveness against hospitalization/death (full vaccinated)	NA				NA	

NA, not available.

Gamma/D614G strain in Chile and Brazil [55,56,205]. CoronaVac or BBIBP-COrV administration was associated with an effectiveness of 59% in China [57].

#### Effectiveness against COVID-19-related hospitalization and death

After full immunization (Fig. 3), mRNA vaccine or AZD1222 effectiveness against hospitalization or death was over 87–94% [48,58] when the strain was not sequenced, 89–95% against Alpha [36,38,39], 95% against Beta/Gamma [38], 96% against Alpha/Delta [39], and 80–95% against Delta [59,60]. CoronaVac was very effective against hospitalization (87.5%) and mortality (86.3%) after full immunization [56]. Ad26.COV1.S had an effectiveness of 60–85% against Delta [60,61].

Overall, the effectiveness of mRNA-vaccine and CoronaVac was reduced for Delta infection, but they still offered a high level of protection against severe COVID-19 and hospitalization for all variants after full immunization [36,38,39,43,48,56,59,62,63].

#### Effectiveness against asymptomatic SARS-CoV-2 infection

After full immunization, effectiveness was 90–92% for AZD1222 and BNT162b2 against unspecified strains [64,65] (Fig. 4). For mRNA vaccines, effectiveness against infection was 89.5–99.2% against Alpha [36,37,48,49,49,66], 75–96.4% against Beta [37,49], 42–84.4% against Delta [44,66–69] and 80–98.2% against unspecified strains [48,50,51,62,70–78]. AZD1222 had an effectiveness of 49–67% in the UK [44,66,79]. mRNA vaccines and Ad26.COV2.S vaccines in the USA had an effectiveness of 47–80% against Delta [59,80,81]. Among pregnant women, a single dose or two doses led to an effectiveness of 78% against the original strain and 96% against Alpha, respectively. These previous studies focused on  $\leq 6$  years in people, but a retrospective cohort of teenagers aged 12–15 years in Israel also reported a high effectiveness of 91.5% against Delta infections [82].

#### Impact on viral load, infectivity, transmission and long COVID

Before Delta propagation, mRNA vaccines were associated with a lower viral load and a reduced duration of illness [83,84]. Against Delta, surveillance studies in the US found both vaccinated and

unvaccinated people had similarly low cycle threshold (Ct) values, indicating high viral load [85,86]. However, the large UK REACT-1 study—using random sampling and including participants who tested positive without showing symptoms—showed that vaccinated people had a lower viral load on average [79]. A preprint in Singapore found that BNT162b2 vaccination was associated with a faster decline in viral loads among vaccinated people [87]. Ad26.COV2.S and BNT162b2 vaccines were associated with a lower probability of viral culture positivity, suggesting less shedding of infectious Delta virus in vaccinated people [88]. Before the spread of Delta, a study in England reported a reduced transmission associated with BNT162b2 or AZD1222 vaccines in a household setting [89], and two other preliminary analyses confirmed these results [90,91]. A Chinese preprint analysed infections among 5153 participants with 73 close-contact COVID-19 cases and observed a higher infection risk among unvaccinated or partially vaccinated participants (versus two doses of inactivated vaccines) [92]. In a large nested case-control study from the UK, participants with one or two vaccine doses reported having fewer symptoms and lower odds of having long COVID (symptoms over 28 days, OR = 0.51 (95% CI: 0.32 to 0.82, after two doses) [93].

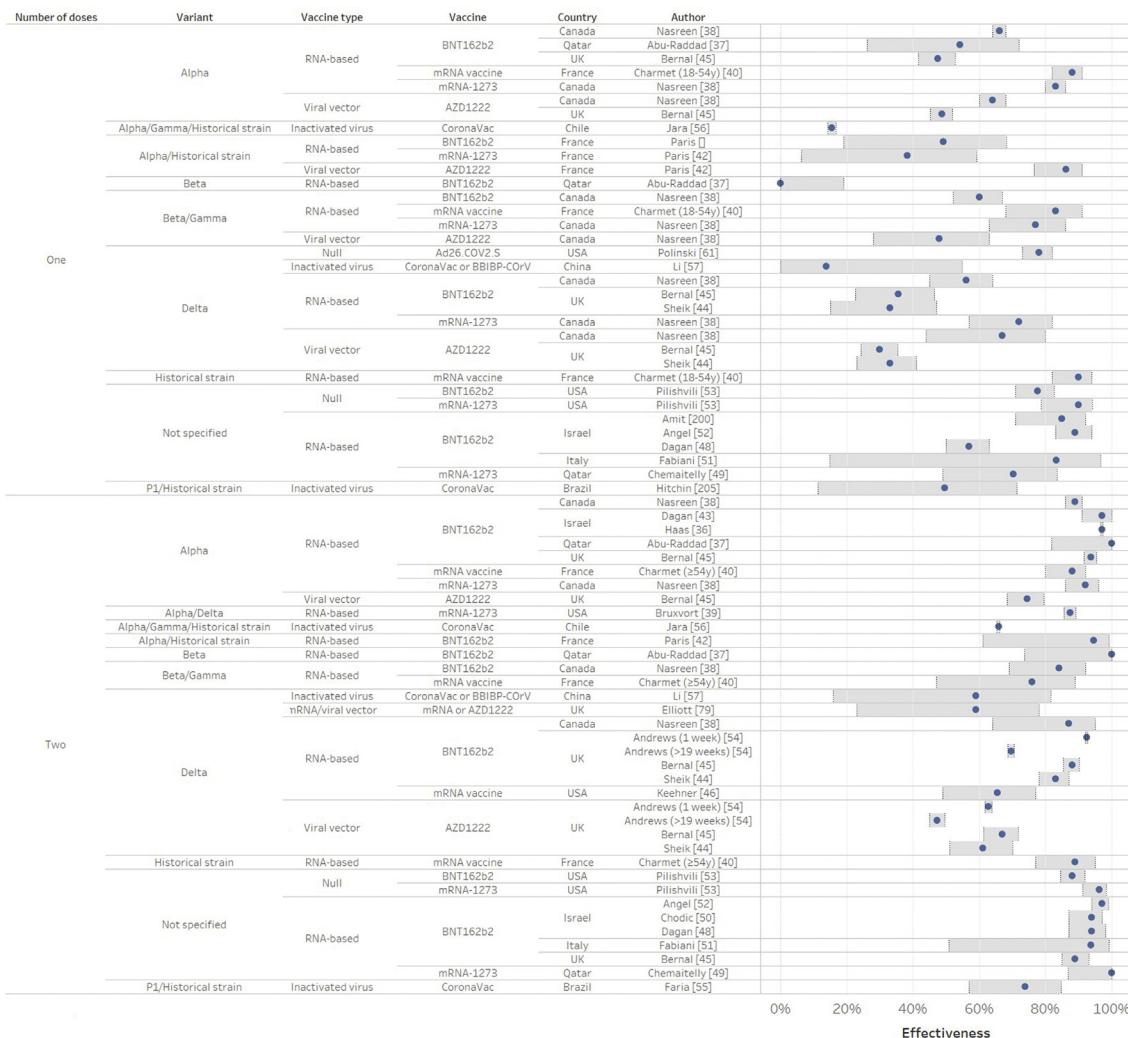
#### Waning immunity

Several studies have suggested that the levels of antibodies after BNT162b2, mRNA-1273 and Ad26.COV2.S vaccines could last for at least 6 months but decrease over time thereafter [94–97]. For mRNA-1273, at 6 months neutralizing activity was maintained against Alpha, Gamma, Delta, Epsilon, whereas neutralizing activity was considerably decreased against Beta for half the participants [97].

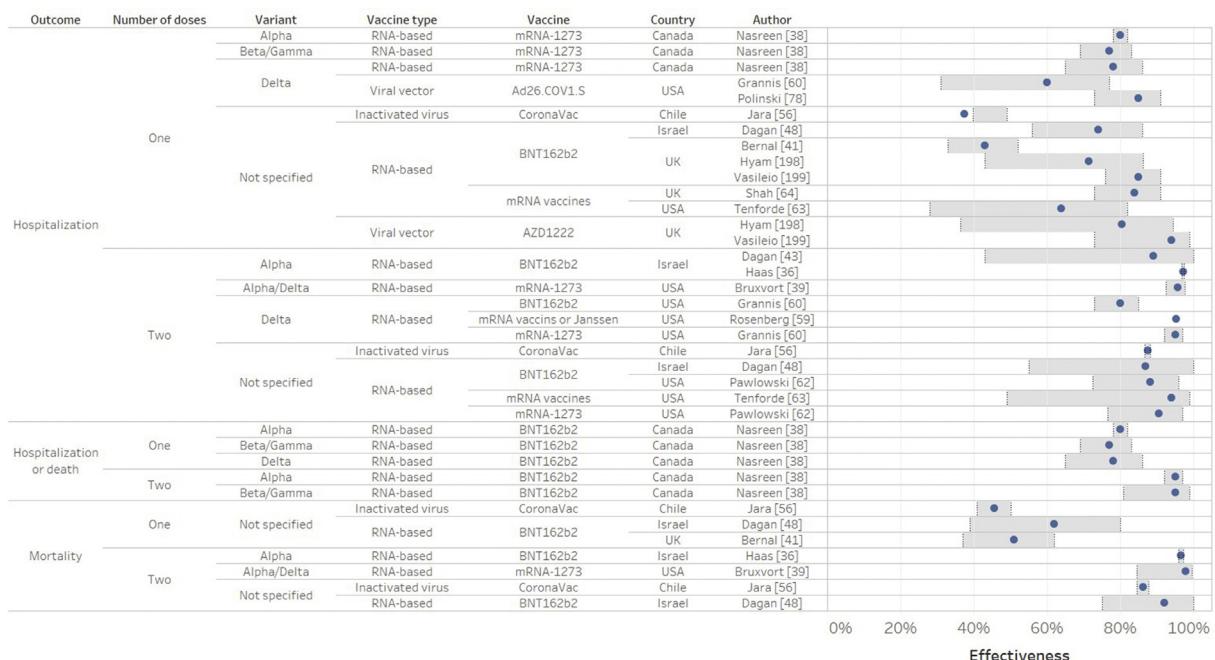
Observational studies stratified by time since vaccination identified a decreasing effectiveness at 4–6 months (42–57%) for mRNA vaccines and 47.3% for AZD1222 against Delta infection [54,68,69,81]. In the USA, effectiveness of mRNA vaccines against symptomatic infection fell from 94.3% in June to 65.5% in July 2021. The effectiveness against hospitalization remained high (>85%) for mRNA-1273 (92%), BNT162b2 (77–93%) and AZD-1222 (70.3%) at 4–6 months after full vaccination [54,69,81,98] and 68% at >28 days after full immunization for Ad26.COV2.S [98]. It is difficult to know whether the reduction in effectiveness against Delta



**Fig. 1.** Vaccine efficacy against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection from clinical trials (in % and 95%CI) according to the number of doses. Confidence intervals are delimited by the grey rectangular area.



**Fig. 2.** Vaccine effectiveness against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) asymptomatic or symptomatic infection from real-world studies (in % and 95% CI) according to the number of doses. Confidence intervals are delimited by the grey rectangular area.



**Fig. 3.** Vaccine effectiveness against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) hospitalization or death from real-world studies (in % and 95%CI) according to the number of doses. Confidence intervals are delimited by the grey rectangular area.

infection is due to waning immunity over time or/and variants escaping immunity and/or increasing in collective immunity.

#### Neutralization assays with variants of concern and variants of interest

Mutations and variations occur in the SARS-CoV-2 virus due to evolution and adaptation processes [99]. Some SARS-CoV-2 variants of concern with mutations in the spike protein have an increased transmissibility [100–105], which may be explained by an enhanced spike-protein-binding affinity for the ACE2 receptor. For example, Alpha and Beta have been shown to have a 1.98x and 4.62x greater binding affinity than original strain [106]. These variants may cause more severe disease [4] and/or have a potential ability to escape the host or vaccine-induced immune response [7,10]. Results from several seroneutralization assays assessing the neutralizing response of vaccine-induced antibodies are described in Fig. 5 and in Supplementary Material Table S3. In general, Alpha had a minimal impact on neutralization activity of antibodies by post-vaccination sera (Table 3). Neutralization was further reduced for variants with mutation E484K and Gamma. Beta had the highest reduction in neutralizing titres. Data were lacking for C.1.2 identified in South Africa in May 2021, but C.1.2 had a 1.7-fold higher substitution rate than the current global substitution rate [107].

#### Limitations of neutralizing assays

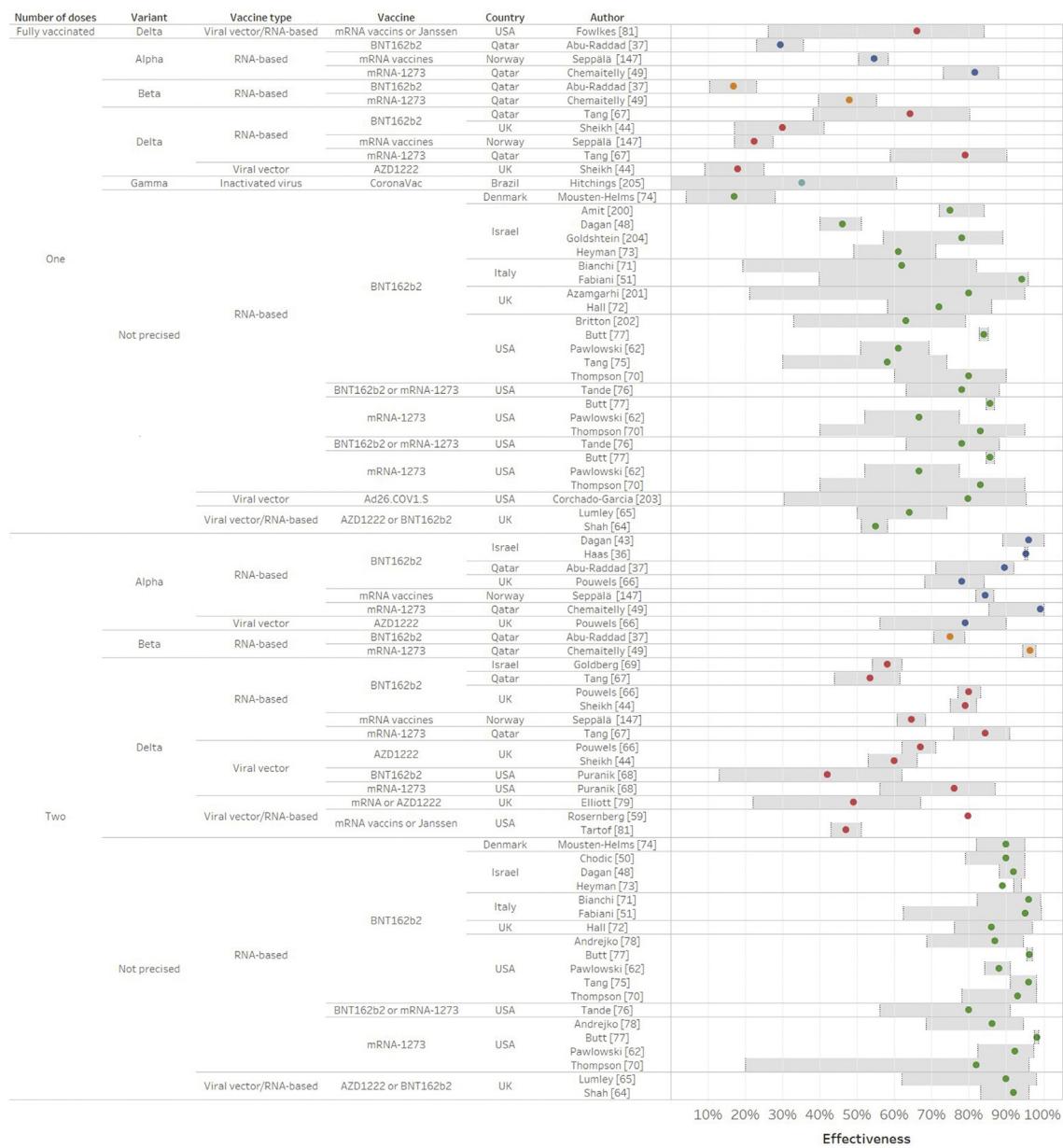
Limitations concerning neutralizing assays should be emphasised. First, most studies were preprints. Second, results are not necessarily linked to clinical consequences. However, one study based on seven vaccines reported a high correlation between neutralization titres and protection estimated in phase III trials [155]. A 50% protection against SARS-CoV-2 infection corresponded to a neutralization level of 20.2% of the mean convalescent level. Third, the methods of the studies varied (pseudovirus assay, plaque reduction neutralization testing, microneutralization assay, focus reduction neutralization test). We included 28 pseudovirus assays

and 26 live virus assays. Pseudovirus assays approximate authentic SARS-CoV-2 neutralization and only evaluate neutralizing antibodies on pseudoviruses with mutations in the spike protein. Additionally, the choice of cell lines and virus models (vesicular stomatitis virus or human immunodeficiency virus-1 for example) can impact the neutralizing activity. Pseudoviruses are surrogates and cannot complete the same life cycle as the live virus, thus it is not possible to assess the inhibitory effect on viral replication, but they are used to study virus entry into cells. Live virus neutralization assay remains the reference standard, but it needs a higher safety level (a biosafety level 3 laboratory). Fourth, the time post-vaccination and study populations were not always comparable regarding age or COVID-19 history. Fifth, T-cell responses were not assessed. Most studies on in vitro vaccine efficacy focused on the ability of the vaccine antibodies to bind to the virus, which partially reflect vaccine effectiveness, but cell-mediated immunity should also be considered. Studies have shown that BNT162b2 and Ad26.COV2.S induce CD4+ and CD8+ T-cell responses [94,156]. Preliminary reports have identified vaccine-induced CD4+ T cells 6 months after the second dose of RNA-1273 [157] and memory B cells at 6 months after two doses of BNT162b2 [158]. SARS-CoV-2-specific memory T cells and B cells are important for long-term protection. A main limitation of these studies on cell-mediated immunity is small sample size.

#### Vaccine regimens

##### Heterologous prime-boost vaccination

Changing recommendations for young people regarding use of AZD1222 and the need to accelerate the vaccination campaign has led some countries to advise heterologous prime-boost vaccination with a second dose of mRNA vaccines. An RCT conducted in the UK indicated an increase in systemic reactogenicity in a heterologous vaccination context versus homologous vaccination [159], but efficacy data have not yet been published. A press communication from El Instituto de Salud Carlos III from a phase II trial in Spain showed that the combination of AZD1222 and BNT162b2 induced a



**Fig. 4.** Vaccine effectiveness against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection from real-world studies (in % and 95%CI) according to the number of doses. Confidence intervals are delimited by the grey rectangular area. Blue, orange, red, pale blue, green refer to Alpha, Beta, Delta, Gamma and unsequenced strains, respectively.

strong humoral response when compared to no second dose [160]. The Com-Cov randomized trial also supported flexibility in the use of AZD1222 and BNT162b2 with a 28-day interval inducing similar levels of SARS-CoV-2 anti-spike IgG [161]. A preliminary study in Thailand observed an increase in neutralizing antibodies against Delta after a third dose of BNT162b2 or AZD1222 among participants who received two doses of CoronaVac [162].

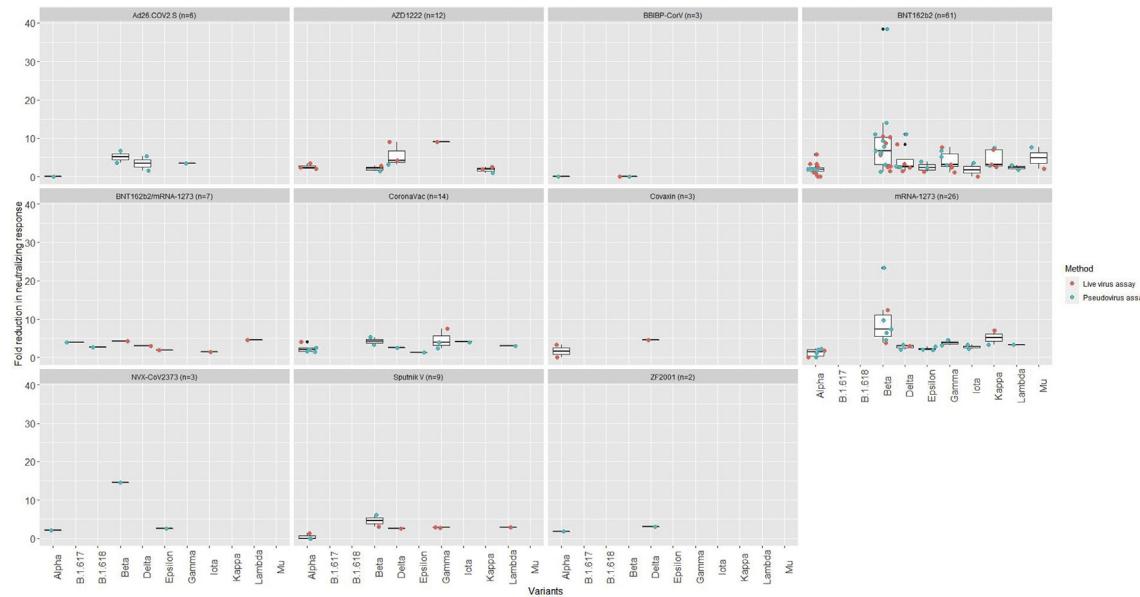
#### Extension of the dose interval

More generally, evidence on the extension of the interval between doses is scarce. Trials for AZD1222 showed that a longer delay was better, but for mRNA and other vaccines trials did not test different dose gaps [163]. A preprint reported that extending the interval to 6 weeks for BNT162b2 vaccine led to higher titres in neutralizing antibodies and a sustained T-cell response against the

variants of concern [164]. Another analysis found that a second dose at 12 weeks induced a stronger humoral response than at a 3-week interval among older people [165].

#### A booster dose for specific populations

Immunogenicity studies amongst transplant patients and patients with cancer showed a poor antibody response after a single dose or two doses of Pfizer vaccine [166–168]. Facing this issue, French, German, British and US health authorities have recommended a third dose for immunocompromised people and transplant recipients. A randomized trial in transplant recipients showed that a third dose of mRNA-1273 was safe, and 26 out of 59 participants who had negative antibody responses prior to the booster developed antibody responses after the third dose [169]. However, this study did not look at the cellular immune response. Another



**Fig. 5.** Average fold reduction in neutralizing response against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) variant versus wild type/D614G SARS-CoV-2 for each coronavirus disease 2019 (COVID-19) vaccine in 54 seroneutralization assays. The line in the middle of the box is the median. The box edges are the 25th and 75th percentiles. These boxplots included different methods assessing neutralizing antibody titres. Methods are detailed in the [Supplementary Material Table S2](#).

study found that an additional dose of mRNA-1273 induced a serological response among 50% of kidney transplant recipients who hadn't responded after two doses [170]. A case-control study in preprint found an effectiveness against SARS-CoV-2 infection 14–20 days after the third dose increased by 79% (versus the second dose) [171]. Another observational study in Israel found that a booster dose reduced the rate of confirmed infections and severe disease by a factor of 11.3 and 19.5, respectively, among elderly participants [172]. Two studies also showed that Moderna boosters (at least 6 months after the second dose) and Pfizer booster (8–11 months after the second dose) induced a strong humoral response against Beta [173] and other variants of concern [174]. The expected local and systemic adverse events were mild and moderate and similar to those after the second dose.

#### Vaccination of previously infected individuals

Several neutralization assays have suggested that a single dose of BNT16b or mRNA-1273 among previously infected subjects could boost the cross-neutralization response against emerging variants such as Alpha, Beta or Gamma [121,175]. These studies have demonstrated the potential benefits of vaccinating both non-infected and previously infected people. Finally, several studies have suggested that a single dose of mRNA vaccine may be sufficient to boost the antibody response in previously infected subjects and that the benefit of the second dose may be small [176–180].

#### Severe adverse events

The main severe adverse events reported in pharmacovigilance systems and post-authorization studies are summarized in [Table 4](#). Among adults, the main severe adverse events reported were very rare: anaphylaxis (2.5–4.8 cases per million doses among adults) and myocarditis (6–27 cases per million) for mRNA vaccines; thrombosis with thrombocytopenia syndrome for the Janssen vaccine (three cases per million) and AstraZeneca vaccine (two cases per million), and Guillain–Barré syndrome (GBS) (7.8 cases per million) for the Janssen vaccine. For AZD1222, capillary leak syndrome was also identified as a possible adverse effect, and

multisystem inflammatory syndrome is under investigation. The EMA excluded an association between AZD1222 and menstrual disorders [181].

Occurrence of adverse events changes with age. Myocarditis associated with mRNA vaccination was identified mainly among males aged <30 years with 39–47 cases per million vaccine doses in the USA (versus three to four myocarditis cases expected among male aged ≥30 years) [182]. On 23rd April, the EMA estimated two cases of thrombosis with thrombocytopenia (TTS) associated with AZD1222 per 100 000 doses for people aged 20–49 years, one case/100 000 doses for those aged 50–69 years, and even lower case rates (<1/100 000 doses) for older people. Similarly, the case rate of TTS was higher for the Janssen vaccine among young women. Surveillance studies found rare cases of Bell's palsy (3.8 cases per 100 000), anaphylaxis (two cases per million), thromboembolic event (1.2 cases per million), GBS (0.29 cases per million) associated with CoronaVac. Several observational and survey studies with low sample sizes did not find specific severe adverse events for Sputnik V, BBIBP-CorV, or Covaxin. Studies on adverse events were lacking for CIGB-66, QazVac, COVIran, Barkat, ZF2001, and EpiVacCorona. Pregnant women were excluded from clinical trials, but surveillance systems did not report an excess of adverse pregnancy and neonatal events after mRNA vaccination [183,184], while an increased risk of severe COVID-19 in pregnancy and babies' admission in the neonatal unit was consistently observed [185].

#### Limitations of this review

This review has several limitations. Several analyses were not peer-reviewed, and only press communications or regulatory market authorization files were available for CoronaVac, BBIBP-CorV, Wuhan inactivated vaccine, Covaxin and NVX-CoV2373. Clinical trials used different definitions for COVID-19 and different primary endpoints, which make direct comparisons difficult. Janssen's primary endpoint is moderate/severe/critical COVID-19 whereas Pfizer and Moderna included mild COVID-19. The endpoint time was also heterogeneous across trials, but most trials used 14 days after the full immunization.

**Table 4**

Main severe adverse events following coronavirus disease 2019 (COVID-19) vaccination in observational studies and pharmacovigilance systems

Vaccine	Serious adverse events	Cases per million doses administered	Country	Age	Follow-up	Number of participants or doses studied	References
BNT162b2	Anaphylaxis	4.8/million	USA	≥12 years	14th December 2020 to 26th June 2021	11.8 million doses administered (57% BNT162b2) to 6.2 million individuals	Klein et al. [186]
	Anaphylaxis + anaphylactoid reactions	476 cases among 40 million doses	UK	≥16 years	9th December 2020 to 1st September 2021	40 million doses (1 and 2)	MHRA (Yellow Card Scheme) [187]
	Myocarditis	2.7/100 000	Israel	≥16 years	20th December 2020 to 24th May 2021	1 736 832 participants (884 828 vaccinated)	Barda et al. [188]
	Lymphadenopathy	78.4/100 000					
	Appendicitis	5/100 000					
	Herpes zoster infection	15.8/100 000					
	Bell's palsy	2.6/100 000	Hongkong	≥12 years	Up to 31st August	4 776 700 doses	Hongkong Drug Office [189]
	Myocarditis/Pericarditis	0.86/100 000					
	Transverse myelitis	0.01/100 000					
	Myocarditis	6/million	UK	≥16 years	9th December 2020 to 1st September 2021	40 million doses (1 and 2)	MHRA (Yellow Card Scheme) [187]
	Pericarditis	4.9/million					
mRNA-1273	Anaphylaxis	5.1/million	USA	≥12 years	14th December 2020, to 26th June 2021	11.8 million doses administered (43% mRNA-1273) to 6.2 million individuals	Klein et al. [186]
		2.5/million	USA	≥16 years	21st December 2020 to 10th January 2021	4 041 396 doses	US CDC [190]
	Myocarditis	20.4/million	UK	≥18 years	9th December 2020 to 1st September 2021	2.3 million doses (1 and 2)	MHRA (Yellow Card Scheme) [187]
	Pericarditis	14.8/million					
Curevac AZD1222	Not authorized Thromboembolic events	0.61/million	India	≥18 years	Date not specified	Retrospective survey of 75 random subjects	Rajpurohit et al. [191]
	Thrombosis with thrombocytopenia syndrome	14.9/million	UK	≥18 years	9th December 2020 to 1st September 2021	48.9 million doses (1 and 2)	MHRA (Yellow Card Scheme) [187]
	Capillary Leak Syndrome	20.5/million					
	Myocarditis	12 cases among 48.9 million doses					
	Pericarditis	2.1/million					
	Anaphylaxis or anaphylactoid reactions	3.3/million					
	Guillain-Barré syndrome	816 cases among 48.9 million doses	Worldwide	≥18 years	By 25th July 2021	592 million doses	EMA [181]
		833 cases among 592 million doses					
Janssen	Thrombosis with thrombocytopenia syndrome	1503 cases among 592 million doses	Worldwide	≥18 years	By 25th July 2021	592 million doses	EMA [181]
	Thrombosis with thrombocytopenia syndrome	45 cases for 14.3 million doses (3/million)	USA	≥18 years	As of 1st September 2021	14.3 million doses	US CDC [192]
	Guillain–Barré syndrome	185 cases for 14.3 millions					
Sputnik V	Expected local and systemic reactions	2.1% participants suffered severe reactions in San Marino's population	Republic of San Marino	18–89 years	4th March to 8th April 202	Cohort of 2558 participants	Montalti et al. [193]
	The most frequent symptoms were local pain, asthenia, headache, and joint pain	5% of serious adverse events (n = 34)	Argentina	18–80 years	5th January to 20, 2021	707 participants	Pagotto et al. [194]
NVX-CoV2373	Not authorized						
EpiVacCorona	We did not find any comparative studies addressing post-authorization safety						
ZF2001	We did not find any comparative studies addressing post-authorization safety						
Convidecia	We did not find any comparative studies addressing post-authorization safety						
CoronaVac	Bell's palsy	3.8/100 000	Hong Kong	≥12 years	Up to 31st August	n = 2 811 500 doses	Hongkong Drug Office [189]
	Encephalopathy	0.01/100 000					
	Anaphylaxis	2/million	Chile	≥16 years	24th December 2020 to 14th May 2021	n = 13 862 155 doses	Instituto de Salu Pública de Chile (ISP) [195]
	Thromboembolic events	1.15/million					
	Bell's palsy	8.73/million					
	Guillain–Barré syndrome	0.29/million					
BBIBP-COrV	No serious side effects were reported	—	Jordan	Mean age: 35–40 years	No date specified	Retrospective survey of 409 participants	Abu-Hammad et al. [196]
	No severe side effects were reported.	—	Iraq	≥18 years	April 2021	Retrospective cross-sectional study of 1012 participants	Almufty et al. [197]
Covaxin	Indian Ministry of Health and Family Welfare and a retrospective cohort reported	—	India	≥18 years	No date specified	—	Indian Ministry of Health and Family Welfare
						Retrospective survey of 75 random subjects	

**Table 4 (continued)**

Vaccine	Serious adverse events	Cases per million doses administered	Country	Age	Follow-up	Number of participants or doses studied	References
	no serious adverse effects associated to Covaxin						Rajpurohit et al. [191]
CIGB-66	We did not find any comparative studies addressing post-authorization safety						
QaeVac	We did not find any comparative studies addressing post-authorization safety						
COVIran Barkat	We did not find any comparative studies addressing post-authorization safety						

Observational studies, unlike randomized studies, cannot guarantee comparability in the exposition of different populations to SARS-CoV-2 and to the variants of concern. Indeed, real-world studies present large variations in time post-vaccination, exposition to SARS-CoV-2 strain, susceptibility to infection (previously infected or not), study population (healthcare workers, older adults, immunocompromised patients, those with chronic medical conditions, etc.). Moreover, due to a lack of randomization, observational studies are subject to bias when assessing effectiveness, such as misclassification from diagnostic errors, imbalances in socioeconomic status, exposure risk, healthcare-seeking behaviours, or immunity status between vaccinated and unvaccinated groups. Not all the observational studies used adjustments to take into account confounding biases. For example, healthcare workers treating COVID-19 patients may be more frequently exposed to SARS-CoV-2, leading to decreased estimates of effectiveness. Various designs—cohort, case-control study, test-negative design (TND)—were used in observational studies, each of them having limitations. Cohorts require large sample sizes for uncommon outcomes (i.e. severe COVID-19), but vaccination status may be more difficult to determine in retrospective cohorts. In case-control studies, vaccinated people may be more likely to seek health care and SARS-CoV-2 testing, biasing toward a reduction in the estimation of effectiveness.

## Conclusion

To date, the availability of data varies greatly depending on the vaccine considered. mRNA vaccines, AZD1222, Ad26.COV2.S, Sputnik V, NVX-CoV2373, Ad5-nCoV, BBIBP-CorV, CoronaVac, COVAXIN and Wuhan inactivated vaccine showed an efficacy against COVID-19 in >50% in phase III studies. Most observational studies assessed the mRNA vaccines, CoronaVac and AZD1222 which appear to be safe and highly effective tools to prevent severe disease, hospitalization and death against all variants of concern (Alpha, Beta, Gamma and Delta). Large observational studies were lacking for several authorized vaccines: Sputnik V, Sputnik V Light, BBIBP-CorV, COVAXIN, EpiVacCorona, ZF2001, Abdala, QazCovid-In, Wuhan Sinopharm inactivated vaccine, KoviVac and COVIran Barekat. The protection against symptomatic and asymptomatic infection was high for Alpha, Beta and Gamma for mRNA vaccines and AZD1222. mRNA vaccines and Ad26.COV2.S were associated with a faster decline in viral load against several variants, including Delta, and a lower probability of viral culture positivity. Effectiveness against infection and COVID-19 declined following infection with the Delta variant and over time, possibly due to a waning of immunity.

Heterologous prime-boost vaccination and a third dose of vaccine both induced a strong humoral response. Vaccinating previously infected people with a single dose provided an equivalent neutralizing response compared to people vaccinated with two doses against all the variants.

According to safety monitoring, reported serious adverse events were very rare and the benefits of COVID-19 vaccination far outweighed the potential risks.

More research is needed to consider booster doses, heterologous vaccination, dosing intervals, vaccine breakthrough infections, and duration of vaccine immunity against variants of concern.

## Author contributions

TF and NPS designed and conducted the research. TF wrote the first draft of the paper. All authors (TF, YK, CJM, JG, NPS) contributed to the data interpretation, revised each draft for important intellectual content, and read and approved the final manuscript.

## Transparency declaration

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.cmi.2021.10.005>.

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